



01-29-01

Sector 16

Express Mail No.: EL 451 595 976 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Turner *et al.*

Serial No.: 09/602,833

Group Art Unit: 1645

Filed: June 23, 2000

Examiner: To be assigned

For: NOVEL HUMAN GENES AND  
PROTEINS ENCODED THEREBY

Attorney Docket No.: 8535-036-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

**BOX: Missing Parts**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts Of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on September 22, 2000, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration and Power of Attorney signed by the inventors in compliance with 37 CFR 1.63;
  2. An executed "Verified Statement (Declaration) Claiming Small Entity Status" in compliance with 37 C.F.R. 1.9(f) and 1.27(c); and
  3. Return copy of Notice to File Missing Parts of Application - Filing Date Granted;
- and
4. A Petition for Extension of Time for three months.

Applicants also submit herewith the following documents for the above-identified application:

5. A Joint Assignment executed by the inventors and Recordation Form Cover Sheet  
Patents Only



The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:


- |   |                  |
|---|------------------|
| 1. The statutory basic filing fee<br>(small entity - Verified Statement enclosed)   | <b>\$ 355.00</b> |
| 2. Extra fee for 7 dependent claims<br>(small entity - Verified Statement enclosed)   | <b>\$ 63.00</b>  |
| 3. Extra fee for 2 independent claims<br>(small entity - Verified Statement enclosed)   | <b>\$ 80.00</b>  |
| 4. Extra fee for multiple dependent claims<br>(small entity - Verified Statement enclosed)  | <b>\$ 130.00</b> |
| 5. Surcharge Fee for filing declaration<br>on a date later than the filing date<br>of the application per 37 CFR 1.492(e)<br>(small entity) | <b>\$ 65.00</b>  |
| <b>TOTAL</b>  | <b>\$ 693.00</b> |

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 16-1150.

The documents submitted complete the filing of the above-identified patent application and justify the filing date of June 23, 2000. This form is being submitted in duplicate.

Respectfully submitted,

Date: January 25, 2001

  
42,983  
Rahul Pathak (Reg. No.)

For: Laura A. Coruzzi (Reg. No. 30,742)  
**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090



## UNITED STATES PATENT AND TRADEMARK OFFICE

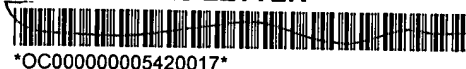
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/602,833	06/23/2000	Alex Turner	8535-036-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711



## FORMALITIES LETTER



\*OC000000005420017\*

Date Mailed: 09/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$542.
  - \$126 for 7 total claims over 20.
  - \$156 for 2 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1362.

A copy of this notice MUST be returned with the reply.

*Customer Service Center*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/31/2001 EEKUBAY1 00000092 161150 09602833

01 FC:201 355.00 CH

02 FC:203 63.00 CH

03 FC:204 80.00 CH

05 FC:205 65.00 CH

01/31/2001 EEKUBAY1 00000092 09602833

355.00 CH  
63.00 CH  
80.00 CH  
135.00 CH  
65.00 CH

01 FC:201  
02 FC:203  
03 FC:204  
04 FC:205  
05 FC:205

9/22/00

file://C:\APPS\PreExam\correspondence\2\_B.xml